

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Woodruff et al.)
Serial No.:) Examiner:
Filing Date:) Art Unit:
)

Title: ENHANCED PREMIUM EQUITY PARTICIPATING SECURITIES

INFORMATION DISCLOSURE STATEMENT

Pittsburgh, Pennsylvania 15222

Commissioner for Patents
Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicants, in accordance with their duty of disclosure pursuant to 37 C.F.R. § 1.56, hereby advise the United States Patent and Trademark Office of the references listed on the accompanying form PTO/SB/08A. Copies of the cited references are enclosed.

Applicants note that although the cited references may be relevant to the examination of the above-referenced application, under 37 C.F.R. § 1.97(h), the filing of this *Information Disclosure Statement* "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)."

Respectfully submitted,

Date: Oct. 3, 2003


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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
				Application Number	
				Filing Date	
				First Named Inventor	Woodruff
				Art Unit	
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	030676

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		
		T ²		
		Prospectus Supplement, Public Service Enterprise Group Incorporated (PSEG), Sept. 5, 2002.		
		Prospectus Supplement, The Hartford Financial Services Group, Inc., Sept. 9, 2002		

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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